

STATE OF CALIFORNIA
DEPARTMENT OF INSURANCE

AFFIDAVIT INSTRUCTIONS

As part of the application process, it will be necessary for the Applicant to ensure completion of both the Organizational Affidavit and the Individual Affidavit(s) enclosed.

The Organizational Affidavit (Part I) must be completed by an executive officer of the applicant organization.

The Individual Affidavit (Part II) must be completed by all of the following individuals:

1. Applicant's Officers, Directors & Key Management Personnel
2. Individuals who have 10% or more beneficial ownership of Applicant [direct or indirect including attribution]
3. Individuals who are 10% or more shareholders of Applicant's ultimate controlling parent

The responses to the affidavits must be typed and all questions must be answered. Do not leave a space blank. If additional space is required, attach an addendum numbering the response to the corresponding question. Submit originals.

Key Management Personnel

- A. For Insurers, all persons responsible for the oversight of the following function:
1. Underwriting
 2. Claims
 3. Investments
 4. Reinsurance
 5. Finance
 6. Sales and Marketing
 7. Actuarial

8. Risk Management
9. Rating

B. For Underwritten Title Companies:

1. Chief Title Officer
2. Chief Escrow Officer
3. Subdivision Manager
4. County Manager
5. Title Plant Manager